

**GENERAL QUESTIONS**

**Charter Type**

- Commercial Bank     Industrial Bank     Thrift

**Asset Size**

- Under \$50 million     \$250 to \$499 million     \$5 billion to \$9.9 billion  
 \$50 to \$99 million     \$500 to \$999 million     \$10 to \$49.9 billion  
 \$100 to \$249 million     \$1 billion to \$4.9 billion     \$50 billion plus

**Primary regulatory agency conducting the examination.**

- State  
 Department of Banking     OCC     FDIC     FRB

**State (Location of Bank Headquarters):**

**Please select the quarter and year of the examination/visitation:  
(please use the examination "on-site" start date)**

**Quarter & Year**

**Are you providing feedback on an examination or a visitation?**

- Examination     Visitation

**What type of examination (or visitation) was this?**

- Safety & Soundness     Compliance     Joint Compliance and CRA

**Next >**



**GENERAL EXAM QUESTIONS**

What do you wish you knew before your bank was examined? (if none, please enter 'N/A')

Was the amount and timing of the request to provide material to the examiner ahead of the exam appropriate?

Yes  No

Did the examiner in charge work with you and staff ahead of the exam to discuss focus and timing?

Yes  No

Did examiners ask questions which may have been obvious given a review of the documents provided ahead of time?

Yes  No

How many years has the examiner-in-charge been supervising bank examinations?

0-3 years    3-5 years    5-10 years    >10 years    Don't know

< Back

Next >

[RECAPTCHA]







# Post Exam Survey

## COMPLIANCE EXAM QUESTIONS

### Reg DD (Truth in Savings)

- No/few problems noted       Received criticism       Received criticism and required evaluation by a third party

### Reg Z (Truth in Lending Act)

- No/few problems noted       Received criticism       Received criticism and required evaluation by a third party

### Reg AA (Unfair and Deceptive Act and Practices/Credit Practices Rule)

- No/few problems noted       Received criticism       Received criticism and required evaluation by a third party

### Reg C HMDA (Home Mortgage Disclosure Act)

- No/few problems noted       Received criticism       Received criticism and required evaluation by a third party

### RESPA (Real Estate Settlement Procedures Act)

- No/few problems noted       Received criticism       Received criticism and required evaluation by a third party

**TRID (TILA-RESPA Integration Disclosure Rule)**

- No/few problems noted
- Received criticism
- Received criticism and required evaluation by a third party

**SAR (Suspicious Activity Report Filings)**

- No/few problems noted
- Received criticism
- Received criticism and required evaluation by a third party

**Level of Board Involvement**

- No/few problems noted
- Received criticism
- Received criticism and required evaluation by a third party

**Did examiners demonstrate understanding of credit risk in assessing the bank's differences in denials or pricing?**

- Yes
- No

**Other areas not mentioned above: Please comment on any other aspects of the compliance examination that you wish to share but were not captured in the questions above.**

< Back

Next >

□□□□□□□□□□□□□□□□



